



IFW

Attorney Docket No.: PATENT  
COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3744
Girish Upadhyia et al.	)	Examiner: Ciric, Ljiljana V.
Serial No.: 10/698,180	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: October 30, 2003	)	162 N. Wolfe Road
For: <b>OPTIMAL SPREADER SYSTEM, DEVICE AND METHOD FOR FLUID COOLED MICRO-SCALED HEAT EXCHANGE</b>	)	Sunnyvale, CA 94086 (408) 530-9700
	)	Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1/29/07

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**CERTIFICATE OF MAILING (§ 7.101(e))**  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

**HAVERSTOCK & OWENS LLP**  
Date: Jan 29 07 By: Mary Soled



Attorney Docket No.: PATENT  
COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3744
Girish Upadhyia et al.	)	Examiner: Ciric, Ljiljana V.
Serial No.: 10/698,180	)	<b><u>CERTIFICATION FOR</u></b>
Filed: October 30, 2003	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>OPTIMAL SPREADER SYSTEM,</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>DEVICE AND METHOD FOR</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>FLUID COOLED MICRO-SCALED</b>	)	162 N. Wolfe Road
<b>HEAT EXCHANGE</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**


I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on December 29, 2007. **Accordingly, I hereby certify that no references contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP


Dated: 1-29-07

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: Jan 29, 07 By: 



PATENT  
Attorney Docket No.: COOL-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3744  
Girish Upadhy et al. ) Examiner: Ciric, Ljiljana V.  
Serial No.: 10/698,180 ) **SUPPLEMENTAL INFORMATION**  
Filed: October 30, 2003 ) **DISCLOSURE STATEMENT**  
For: **OPTIMAL SPREADER SYSTEM,** ) 162 N. Wolfe Road  
**DEVICE AND METHOD FOR FLUID** ) Sunnyvale, CA 94086  
**COOLED MICRO-SCALED HEAT** ) (408) 530-9700  
**EXCHANGE** ) Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,474,172; and
- U.S. Publication No. 2004/0216863 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-29-07

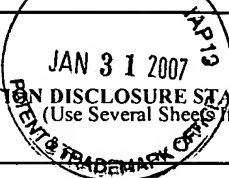
By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 C.F.R. 1.6(c))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: Jan 29, 07 By: Mary Baker

FORM PTO-1449 (Modified)				U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: COOL-00800		Serial No.: 10/698,180	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use Several Sheets if Necessary)						Applicants: Giruish Upadhyia et al.			
(37 CFR § 1.98(b))						Filing Date: October 30, 2003		Group Art Unit: 3744	
<b>U.S. PATENT DOCUMENTS</b>									
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date			
	AA	4,474,172	10/02/1984	Burke	126	449	10/25/1982		
	AB	US 2004/0216863 A1	11/04/2004	Hu	165	110	04/30/2003		
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
<b>FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS</b>									
		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation		
							Yes	No	
	AK								
	AL								
	AM								
	AN								
	AO								
<b>OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>									
	AP								
	AQ								
	AR								
	AS								
	AT								
	AU								
	AV								
	AW								
	AX								
	AY								
	AZ								
Examiner:					Date Considered:				
<b>EXAMINER:</b> Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									